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Approved for use through 10/31/2002. OMB 0651-0032

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<b>Attorney Docket No.</b>	WAKA 20.516
		<b>First Inventor</b>	H. HARIMA
		<b>Title</b>	SURFACE-MOUNT CRYSTAL OSCILLATOR
		<b>Express Mail Label No.</b>	EV332273865US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>	
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit as original and a duplicate for processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification (Preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed Sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets <span style="border: 1px solid black; padding: 2px;">16</span> ]</p> <p>5. Oath or Declaration [ Total Pages <span style="border: 1px solid black; padding: 2px;">2</span> ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional or Box 18 completed)</p> <p>b. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application. see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <span style="border: 1px solid black; padding: 2px;">PRIORITY CLAIM.</span></p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

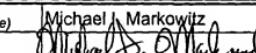
Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Priority application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		1026304 (Serial Number or Reference ID)	or	<input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Michael A. Markowitz	Registration No. (Attorney/Agent)	30,659
Signature			
Date	07/15/03		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 790.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	H. HARIMA
Examiner Name	
Art Unit	
Attorney Docket No.	WAKA 20.516

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	50-1290
Deposit Account Name	Katten Muchin Zavis Rosenman

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
 

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001 750	2001 375		Utility filing fee		750		
1002 330	2002 165		Design filing fee				
1003 520	2003 260		Plant filing fee				
1004 750	2004 375		Reissue filing fee				
1005 160	2005 80		Provisional filing fee				
<b>SUBTOTAL (1) (\$ 750)</b>							

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims below	Fee from	Fee Paid
9	1	-20** =		18.00 + 0.00
1		-3** =		84.00 + 0.00
Multiple Dependent				=

Large Entity	Small Entity	Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1202 18	2202 9		Claims in excess of 20				
1201 84	2201 42		Independent claims in excess of 3				
1203 280	2203 140		Multiple dependent claim, if not paid				
1204 84	2204 42		** Reissue independent claims over original patent				
1205 18	2205 9		** Reissue claims in excess of 20 and over original patent				
<b>SUBTOTAL (2) (\$ 0.00)</b>							

\*\* or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

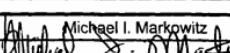
## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1051 130	2051 65		Surcharge - late filing fee or oath				
1052 50	2052 25		Surcharge - late provisional filing fee or cover sheet				
1053 130	1053 130		Non-English specification				
1812 2,520	1812 2,520		Fee filing a request for ex parte reexamination				
1804 920*	1804 920*		Requesting publication of SIR prior to Examiner action				
1805 1,840*	1805 1,840*		Requesting publication of SIR after Examiner action				
1251 110	2251 55		Extension for reply within first month				
1252 410	2252 205		Extension for reply within second month				
1253 930	2253 465		Extension for reply within third month				
1254 1,450	2254 725		Extension for reply within fourth month				
1255 1,970	2255 985		Extension for reply within fifth month				
1401 320	2401 160		Notice of Appeal				
1402 320	2402 160		Filing a brief in support of an appeal				
1403 280	2403 140		Request for oral hearing				
1451 1,510	1451 1,510		Petition to institute a public use proceeding				
1452 110	2452 55		Petition to revive - unavoidable				
1453 1,300	2453 650		Petition to revive - unintentional				
1501 1,300	2501 650		Utility issue fee (or reissue)				
1502 470	2502 235		Design issue fee				
1503 630	2503 315		Plant issue fee				
1460 130	1460 130		Petitions to the Commissioner				
1807 50	1807 50		Processing fee under 37 CFR 1.17(q)				
1806 180	1806 180		Submission of Information Disclosure Stmt				
8021 40	8021 40		Recording each patent assignment per property (times number of properties)				40.00
1809 750	2809 375		Filing a submission after final rejection (37 CFR 1.129(a))				
1810 750	2810 375		For each additional invention to be examined (37 CFR 1.129(i))				
1801 750	2801 375		Request for Continued Examination (RCE)				
1802 900	1802 900		Request for expedited examination of a design application				
Other fee (specify)							

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

Submitted By	Michael I. Markowitz	Registration No. (Attorney/Agent)	30,659	Telephone	(212) 940-8800
Name (Print/Type)				Date	07/15/2003
Signature					

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and sending the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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